

## Application for Recognition of Exemption Under Section 501(a)

If exempt status is approved,  
 this application will be open  
 for public inspection.

Read the instructions for each Part carefully. **A User Fee must be attached to this application.**

If the required information and appropriate documents are not submitted along with Form 8718 (with payment of the appropriate user fee), the application may be returned to the organization.

**Complete the Procedural Checklist on page 6 of the instructions.**

**Part I. Identification of Applicant** (Must be completed by all applicants; also complete appropriate schedule.)  
 Submit only the schedule that applies to your organization. Do not submit blank schedules.

Check the appropriate box below to indicate the section under which the organization is applying:

- a  Section 501(c)(2)—Title holding corporations (Schedule A, page 7)
- b  Section 501(c)(4)—Civic leagues, social welfare organizations (including certain war veterans' organizations), or local associations of employees (Schedule B, page 8)
- c  Section 501(c)(5)—Labor, agricultural, or horticultural organizations (Schedule C, page 9)
- d  Section 501(c)(6)—Business leagues, chambers of commerce, etc. (Schedule C, page 9)
- e  Section 501(c)(7)—Social clubs (Schedule D, page 11)
- f  Section 501(c)(8)—Fraternal beneficiary societies, etc., providing life, sick, accident, or other benefits to members (Schedule E, page 13)
- g  Section 501(c)(9)—Voluntary employees' beneficiary associations (Parts I through IV and Schedule F, page 14)
- h  Section 501(c)(10)—Domestic fraternal societies, orders, etc., not providing life, sick, accident, or other benefits (Schedule E, page 13)
- i  Section 501(c)(12)—Benevolent life insurance associations, mutual ditch or irrigation companies, mutual or cooperative telephone companies, or like organizations (Schedule G, page 15)
- j  Section 501(c)(13)—Cemeteries, crematoria, and like corporations (Schedule H, page 16)
- k  Section 501(c)(15)—Mutual insurance companies or associations, other than life or marine (Schedule I, page 17)
- l  Section 501(c)(17)—Trusts providing for the payment of supplemental unemployment compensation benefits (Parts I through IV and Schedule J, page 18)
- m  Section 501(c)(19)—A post, organization, auxiliary unit, etc., of past or present members of the Armed Forces of the United States (Schedule K, page 19)
- n  Section 501(c)(25)—Title holding corporations or trusts (Schedule A, page 7)

<b>1a</b> Full name of organization (as shown in organizing document)  <b>Utilika Foundation</b>	<b>2</b> Employer identification number (EIN) (if none, see <b>Specific Instructions</b> on page 2)  <b>20 : 1097721</b>			
<b>1b</b> c/o Name (if applicable)  <b>Washington Research Foundation Venture Center</b>	<b>3</b> Name and telephone number of person to be contacted if additional information is needed  <b>Jonathan Pool</b>  <b>( 206 ) 336-5566</b>			
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;"><b>1c</b> Address (number and street) <b>2815 Eastlake Avenue East</b></td> <td style="width: 20%;"><b>Room/Suite</b> <b>300</b></td> </tr> </table>		<b>1c</b> Address (number and street) <b>2815 Eastlake Avenue East</b>	<b>Room/Suite</b> <b>300</b>	
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<b>1d</b> City, town or post office, state, and ZIP + 4 If you have a foreign address, see <b>Specific Instructions</b> for Part I, page 2.  <b>Seattle, Washington 98102-3086</b>				
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 30%;"><b>1e</b> Web site address <b>http://utilika.org</b></td> <td style="width: 35%;"><b>4</b> Month the annual accounting period ends <b>December</b></td> <td style="width: 35%;"><b>5</b> Date incorporated or formed <b>3 May 2004</b></td> </tr> </table>	<b>1e</b> Web site address <b>http://utilika.org</b>	<b>4</b> Month the annual accounting period ends <b>December</b>	<b>5</b> Date incorporated or formed <b>3 May 2004</b>	
<b>1e</b> Web site address <b>http://utilika.org</b>	<b>4</b> Month the annual accounting period ends <b>December</b>	<b>5</b> Date incorporated or formed <b>3 May 2004</b>		

**6** Did the organization previously apply for recognition of exemption under this Code section or under any other section of the Code?  Yes  No  
 If "Yes," attach an explanation.

**7** Has the organization filed Federal income tax returns or exempt organization information returns?  Yes  No  
 If "Yes," state the form numbers, years filed, and Internal Revenue office where filed.

**8** Check the box for the type of organization. ATTACH A CONFORMED COPY OF THE CORRESPONDING ORGANIZING DOCUMENTS TO THE APPLICATION BEFORE MAILING.

- a  Corporation— Attach a copy of the Articles of Incorporation (including amendments and restatements) showing approval by the appropriate state official; also attach a copy of the bylaws.
- b  Trust— Attach a copy of the Trust Indenture or Agreement, including all appropriate signatures and dates.
- c  Association— Attach a copy of the Articles of Association, Constitution, or other creating document, with a declaration (see instructions) or other evidence that the organization was formed by adoption of the document by more than one person. Also include a copy of the bylaws.

If this is a corporation or an unincorporated association that has not yet adopted bylaws, check here

I declare under the penalties of perjury that I am authorized to sign this application on behalf of the above organization, and that I have examined this application, including the accompanying schedules and attachments, and to the best of my knowledge it is true, correct, and complete.

**PLEASE  
SIGN  
HERE**

Jonathan Pool (signature)

(Signature)

**Jonathan Pool, President**

(Type or print name and title or authority of signer)

**1 September 2006**

(Date)

**Part II. Activities and Operational Information** (Must be completed by all applicants)

- 1 Provide a detailed narrative description of all the activities of the organization—past, present, and planned. Do not merely refer to or repeat the language in the organizational document. List each activity separately in the order of importance based on the relative time and other resources devoted to the activity. Indicate the percentage of time for each activity. Each description should include, as a minimum, the following: (a) a detailed description of the activity including its purpose and how each activity furthers your exempt purpose; (b) when the activity was or will be initiated; and (c) where and by whom the activity will be conducted.

**1. 60%. (a) Research and publication on human and human-computer language barriers and methods for overcoming them, including on strategies for resolving and preventing ambiguity, and for making automated processing available for all languages in the world. Focus is on technologies that help humans use their native languages to express meaning precisely enough for computer understanding. Acquisition of skills necessary for advancing this research, including skills in syntax, semantics, grammar engineering, computer science, and artificial intelligence. Participation in conferences, seminars, lectures, and discussions in these fields. Purpose: to discover and develop better methods for computer-aided human understanding across language barriers and for human-computer collaboration. Directly furthers our exempt purpose: to advance the philosophy, science, and technology of communication and collaboration among diverse human and artificial agents. This in turn furthers the social welfare of our community by helping people function in more global communities and in virtual (human-computer) communities. (b) Initiated May 2004. (c) Conducted principally by our president Jonathan Pool and our director Emily Bender.**

**2. 25%. (a) Collaboration and communication with, and evaluation of, organizations receiving our support, by seminars, informal meetings, volunteer services, correspondence, and consultation. Until now there is one such organization, the University of Washington Turing Center (<http://turing.cs.washington.edu>). Our support instigated the university to establish the center, after about three months of detailed discussion. Our volunteer services there include our directors' membership on the Turing Center's Events Committee. Purpose: to assure that our support is utilized for productive research, publication, and conferences furthering our above-described purpose. Furthers our exempt purpose by leveraging the existing expertise and reputation of university scholars, not only developing such expertise internally. Permits existing experts to demonstrate possibilities and limits of new strategies for global communication and collaboration, leading to support by others if the results are promising. (b) Initiated May 2005. (c) Conducted by our president Jonathan Pool and our directors Emily Bender and Christie Evans.**

**3. 10%. (a) Miscellaneous charitable work. Study of social-welfare problems, particularly barriers to access to information about human rights and health care and genocide and other massive violations of human rights. Participation in discussion meetings with community organizations, including World Affairs Council, Current Events Book Group, Center for Communication and Civic Engagement, Cross Cultural Health Care Program, World Peace Through Law Section of the Washington State Bar Association. Purpose: to exchange information about shared charitable and educational interests with other organizations. Furthers our exempt purpose by enhancing our familiarity with potential collaborators and providing our expertise to others who might benefit from it. (b) Initiated May 2004. (c) Conducted principally by our president Jonathan Pool, with participation by our directors Christie Evans and Emily Bender.**

**4. 5%. (a) Asset management. Supervision of real estate management firm's leasing, maintenance, accounting, and asset-disposition work until our real estate was sold, all related assets and liabilities were terminated, and information needs of the properties' new owners were satisfied. Thereafter, review of investment newsletters and allocation of investment assets among mutual funds. Related bookkeeping. Purpose: to preserve and expand our assets. Furthers our exempt purpose by increasing what we can spend and contribute for that purpose. (b) Initiated June 2004; time percentage decreased from about 70% in June 2004 to about 5% in June 2005 and thereafter. (c) Conducted principally by our president Jonathan Pool.**

- 2 List the organization's present and future sources of financial support, beginning with the largest source first.

**1. Contribution of real property by Jonathan Pool in June 2004.**

**We do not expect to receive future financial support from any source.**

**Part II. Activities and Operational Information** (continued)

3 Give the following information about the organization's governing body:

a Names, addresses, and titles of officers, directors, trustees, etc.	b Annual compensation
<b>Jonathan Pool, 800 NE 42nd St Apt 103, Seattle WA 98105-6455. Director A, President, and Treasurer.</b>	<b>\$0.00</b>
<b>Emily Bender, Dept of Linguistics, Box 354340, University of Washington, Seattle WA 98195-4340. Director B and Vice-President.</b>	<b>\$0.00</b>
<b>Christie Evans, 6719 150th Ave NE, Redmond WA 98052-4713. Director C and Secretary.</b>	<b>\$0.00</b>

4 If the organization is the outgrowth or continuation of any form of predecessor, state the name of each predecessor, the period during which it was in existence, and the reasons for its termination. Submit copies of all papers by which any transfer of assets was effected.

**N/A**

5 If the applicant organization is now, or plans to be, connected in any way with any other organization, describe the other organization and explain the relationship (e.g., financial support on a continuing basis; shared facilities or employees; same officers, directors, or trustees).

**We have provided financial support to the University of Washington Foundation for the founding and operation of a new research center named the Turing Center. Our directors are volunteering as the current members of the Turing Center's Events Committee, and two of our directors are serving as members of the Turing Center's Advisory Committee. We have expressed our (non-binding) intent to make three annual additional gifts to the University of Washington Foundation for the further work of the Turing Center. We plan to evaluate the Turing Center's work and make those gifts if the work merits our continued support.**

6 If the organization has capital stock issued and outstanding, state: (1) class or classes of the stock; (2) number and par value of the shares; (3) consideration for which they were issued; and (4) if any dividends have been paid or whether your organization's creating instrument authorizes dividend payments on any class of capital stock.

**N/A**

7 State the qualifications necessary for membership in the organization; the classes of membership (with the number of members in each class); and the voting rights and privileges received. If any group or class of persons is required to join, describe the requirement and explain the relationship between those members and members who join voluntarily. Submit copies of any membership solicitation material. Attach sample copies of all types of membership certificates issued.

**N/A**

8 Explain how your organization's assets will be distributed on dissolution.

**If any assets exist upon our dissolution, our board of directors is required to distribute them to another organization that operates exclusively for charitable or educational purposes, is not disqualified for exemption under Section 501(c)(4), and does not conduct propaganda or legislative influence not permitted under Section 501(c)(3). This requirement is described in Articles 5 and 10 of our Articles of Incorporation.**

Part II. Activities and Operational Information (continued)

9 Has the organization made or does it plan to make any distribution of its property or surplus funds to shareholders or members? . . . . .  Yes  No
If "Yes," state the full details, including: (1) amounts or value; (2) source of funds or property distributed or to be distributed; and (3) basis of, and authority for, distribution or planned distribution.

10 Does, or will, any part of your organization's receipts represent payments for services performed or to be performed? .  Yes  No
If "Yes," state in detail the amount received and the character of the services performed or to be performed.

11 Has the organization made, or does it plan to make, any payments to members or shareholders for services performed or to be performed? . . . . .  Yes  No
If "Yes," state in detail the amount paid, the character of the services, and to whom the payments have been, or will be, made.

12 Does the organization have any arrangement to provide insurance for members, their dependents, or others (including provisions for the payment of sick or death benefits, pensions, or annuities)? . . . . .  Yes  No
If "Yes," describe and explain the arrangement's eligibility rules and attach a sample copy of each plan document and each type of policy issued.

13 Is the organization under the supervisory jurisdiction of any public regulatory body, such as a social welfare agency, etc.? . . . . .  Yes  No
If "Yes," submit copies of all administrative opinions or court decisions regarding this supervision, as well as copies of applications or requests for the opinions or decisions.

14 Does the organization now lease or does it plan to lease any property? . . . . .  Yes  No
If "Yes," explain in detail. Include the amount of rent, a description of the property, and any relationship between the applicant organization and the other party. Also, attach a copy of any rental or lease agreement. (If the organization is a party, as a lessor, to multiple leases of rental real property under similar lease agreements, please attach a single representative copy of the leases.)

Office, 126 sq ft, with telephone, Internet access, reception, technical support, and conference-room access. We receive occasional strategic advice from the lessor but are otherwise unrelated to it. The rent is \$698.67 per month.

15 Has the organization spent or does it plan to spend any money attempting to influence the selection, nomination, election, or appointment of any person to any Federal, state, or local public office or to an office in a political organization? . .  Yes  No
If "Yes," explain in detail and list the amounts spent or to be spent in each case.

16 Does the organization publish pamphlets, brochures, newsletters, journals, or similar printed material? . . . . .  Yes  No
If "Yes," attach a recent copy of each.

**Part III. Financial Data (Must be completed by all applicants)**

Complete the financial statements for the current year and for each of the 3 years immediately before it. If in existence less than 4 years, complete the statements for each year in existence. **If in existence less than 1 year, also provide proposed budgets for the 2 years following the current year.**

**A. Statement of Revenue and Expenses**

Revenue	(a) Current Tax Year	3 Prior Tax Years or Proposed Budget for Next 2 Years			(e) Total
	From 1 Jan To 31 Aug	(b) 2004	(c) 2005	(d) .....	
1 Gross dues and assessments of members . . . . .	0.00	0.00	0.00		
2 Gross contributions, gifts, etc. . . . .	0.00	1987208.74	0.00		
3 Gross amounts derived from activities related to the organization's exempt purpose (attach schedule) (Include related cost of sales on line 9.)	0.00	0.00	0.00		
4 Gross amounts from unrelated business activities (attach schedule)	0.00	0.00	0.00		
5 Gain from sale of assets, excluding inventory items (attach schedule) . . . . .	0.00	115350.43	28542.10		
6 Investment income (see page 3 of the instructions)	322.30	55293.36	78622.07		
7 Other revenue (attach schedule). . . . .	61.59	0.58	32.27		
8 Total revenue (add lines 1 through 7) . . . . .	383.89	2157853.11	107196.44		
<b>Expenses</b>					
9 Expenses attributable to activities related to the organization's exempt purposes. . . . .	7657.27	6450.64	6206.62		
10 Expenses attributable to unrelated business activities	0.00	0.00	0.00		
11 Contributions, gifts, grants, and similar amounts paid (attach schedule). . . . .	501670.00	1050.00	450200.00		
12 Disbursements to or for the benefit of members (attach schedule)	0.00	0.00	0.00		
13 Compensation of officers, directors, and trustees (attach schedule)	0.00	20.00	0.00		
14 Other salaries and wages. . . . .	0.00	0.00	0.00		
15 Interest . . . . .	17.58	0.00	3.38		
16 Occupancy . . . . .	4890.69	5094.29	8384.04		
17 Depreciation and depletion . . . . .	0.00	0.00	0.00		
18 Other expenses (attach schedule) . . . . .	0.00	0.00	0.00		
19 Total expenses (add lines 9 through 18) . . . . .	514235.54	12614.93	464794.04		
20 Excess of revenue over expenses (line 8 minus line 19) . . . . .	(513851.65)	2145238.18	(357597.60)		

**B. Balance Sheet (at the end of the period shown)**

		Current Tax Year as of 31 Aug
<b>Assets</b>		
1	Cash . . . . .	2413.12
2	Accounts receivable, net . . . . .	0.00
3	Inventories . . . . .	0.00
4	Bonds and notes receivable (attach schedule) . . . . .	0.00
5	Corporate stocks (attach schedule). . . . .	0.00
6	Mortgage loans (attach schedule) . . . . .	0.00
7	Other investments (attach schedule) . . . . .	1269081.95
8	Depreciable and depletable assets (attach schedule) . . . . .	0.00
9	Land . . . . .	0.00
10	Other assets (attach schedule) . . . . .	2502.56
11	Total assets . . . . .	1273997.63
<b>Liabilities</b>		
12	Accounts payable . . . . .	218.70
13	Contributions, gifts, grants, etc., payable . . . . .	0.00
14	Mortgages and notes payable (attach schedule) . . . . .	0.00
15	Other liabilities (attach schedule) . . . . .	0.00
16	Total liabilities. . . . .	218.70
<b>Fund Balances or Net Assets</b>		
17	Total fund balances or net assets . . . . .	1273778.93
18	Total liabilities and fund balances or net assets (add line 16 and line 17) . . . . .	1273997.63

If there has been any substantial change in any aspect of the organization's financial activities since the end of the period shown above, check the box and attach a detailed explanation.  ▶

**Schedule B** Organizations Described in Section 501(c)(4) (Civic leagues, social welfare organizations (including posts, councils, etc., of veterans' organizations not qualifying or applying for exemption under section 501(c)(19)) or local associations of employees.)

1 Has the Internal Revenue Service previously issued a ruling or determination letter recognizing the applicant organization (or any predecessor organization listed in question 4, Part II of the application) to be exempt under section 501(c)(3) and later revoked that recognition of exemption on the basis that the applicant organization (or its predecessor) was carrying on propaganda or otherwise attempting to influence legislation or on the basis that it engaged in political activity? . . .  Yes  No

If "Yes," indicate the earliest tax year for which recognition of exemption under section 501(c)(3) was revoked and the IRS district office that issued the revocation.

2 Does the organization perform or plan to perform (for members, shareholders, or others) services, such as maintaining the common areas of a condominium; buying food or other items on a cooperative basis; or providing recreational facilities or transportation services, job placement, or other similar undertakings? . . .  Yes  No

If "Yes," explain the activities in detail, including income realized and expenses incurred. Also, explain in detail the nature of the benefits to the general public from these activities. (If the answer to this question is explained in Part II of the application (pages 2, 3, and 4), enter the page and item number here.)

3 If the organization is claiming exemption as a homeowners' association, is access to any property or facilities it owns or maintains restricted in any way? . . .  Yes  No

If "Yes," explain.

N/A

4 If the organization is claiming exemption as a local association of employees, state the name and address of each employer whose employees are eligible for membership in the association. If employees of more than one plant or office of the same employer are eligible for membership, give the address of each plant or office.

N/A

**Utilika Foundation, 20-1097721**  
**2815 Eastlake Ave E Ste 300, Seattle WA 98102-3086**  
**Form 1024 Attachments**

**Part III, Section A, line 5: Gain from sale of assets**

**2004**

Asset	Buyer	Proceeds
Nonresidential rental real estate, "Centerplex A"	Seattle Mental Health Institute, Inc.	1360395.89
Nonresidential rental real estate, "Centerplex B"	Pacific Rim Holdings, LLC	684921.13
Nonresidential rental real estate, "Centerplex C"	M & M Management, LLC	452375.99
Publicly traded broker-sold securities	N/A	458389.07

**2005**

Asset	Buyer	Proceeds
Publicly traded broker-sold securities	N/A	515655.59

**Part III, Section A, line 7: Other revenue**

**2004**

Miscellaneous	0.58
<b>Total</b>	0.58

**2005**

Miscellaneous	32.27
<b>Total</b>	32.27

**2006**

Miscellaneous	61.59
<b>Total</b>	61.59

**Part III, Section A, line 11: Gifts, grants, etc. paid**

**2004**

Recipient	Purpose	Amount
University of Washington	Linguistics graduate-student support	1000.00
American Red Cross	Natural-disaster relief	50.00
<b>Total</b>		1050.00

**2005**

<b>Recipient</b>	<b>Purpose</b>	<b>Amount</b>
Eastern Michigan University Foundation	Linguistlist project	200.00
University of Washington Foundation	Turing Center research, seminars, and publications	450000.00
<b>Total</b>		450200.00

**2006**

<b>Recipient</b>	<b>Purpose</b>	<b>Amount</b>
University of Kansas Center for Research	Digital Tools Summit for Linguistics	1500.00
Institute for War and Peace Reporting	Human rights information dissemination	100.00
University of Washington Foundation	Turing Center research, seminars, and publications	500000.00
The Center for Justice & Accountability	Human rights litigation	70.00
<b>Total</b>		501670.00

**Part III, Section A, line 13: Compensation of officers and directors**

**2004**

<b>Recipient, office, workload</b>	<b>Purpose</b>	<b>Amount</b>
Christie Evans, Secretary, 1 hour/week	Transportation allowance for volunteer services	20.00
<b>Total</b>		20.00

**Part III, Section B, line 7: Other investments**

Publicly traded mutual funds	1269081.95
<b>Total</b>	1269081.95

**Part III, Section B, line 10: Other assets**

Office occupancy security deposit	698.67
Office furniture	345.98
Computing hardware	580.26
Computing software	877.65
<b>Total</b>	2502.56